

HVAC IN HEALTHCARE

UK PRACTICE COMPARED TO NORTH AMERICA

FRANK MILLS
ENVIRONMENTAL DESIGN
CONSULTANTS,
CHORLEY, LANCASHIRE

6/2/08

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HVAC ISSUES

- IAQ AND IEQ
- INFECTION CONTROL
- ENERGY USE
- RESILIENCE
- CAPITAL COST
- RUNNING COSTS
- MAINTAINABILITY
- SUSTAINABILITY
- FIRE AND SMOKE CONTROL

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UK STANDARDS

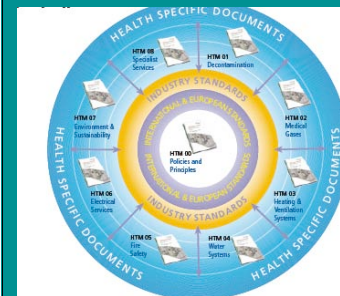
- HTM 03 COMPREHENSIVE AND THOROUGH
- DETAILED GUIDANCE
- ALLOWS DESIGN FLEXIBILITY
- DEFINES STANDARDS CLEARLY

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Dept of Health HTMs



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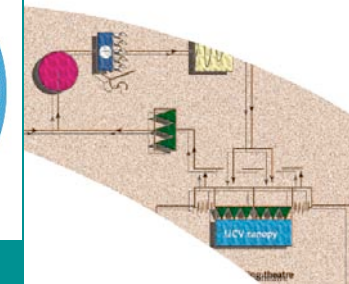
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Heating and ventilation systems
Health Technical Memorandum
03-01: Specialised ventilation for
healthcare premises

Part A: Design and validation



REASONS FOR VENTILATION

- HUMAN HABITATION
- ACTIVITIES RELATED – extraction of odours, aerosols, gases, vapours, fumes and dust – some of which may be toxic, infectious, corrosive, flammable or otherwise hazardous.
- Dilution and control of airborne pathogenic material
- Thermal comfort
- Removal of heat generated by equipment
- Removal of solar heat gains
- Combustion air
- 'make up' air for local exhaust ventilation

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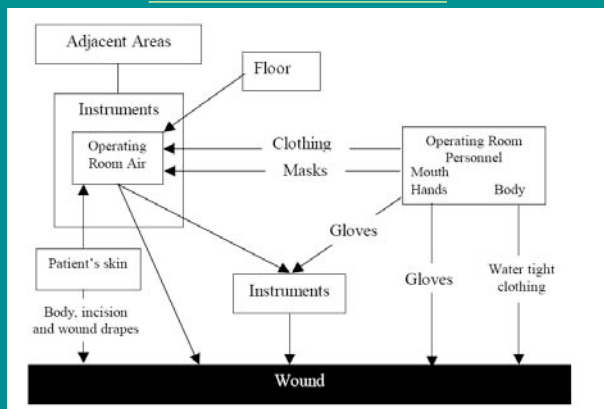
Infection Control

Recent interest in airborne infections (e.g. 6750 new cases of TB reported in 1999 in UK), and costs of dealing with Nosocomial infections (originating from hospital) estimated in excess of £1 billion per annum in UK (100,000 infections each year). Most pathogens affect immuno-compromised patients e.g. C Difficile affects the elderly disproportionately. Clinicians are also a vulnerable group.

Airborne vs Contact

- Person to person contact is the most important transmission route - cleaning/hygiene/good practice.
- However, Beggs and others argue that the airborne route may be greater than recognised.
- Major infectious disease such as TB, have stronger evidence on airborne route transmission. Nosocomial (hospital acquired) infection is relatively unclear.
- A recent systematic review found that out of 40 studies, (only 10 of which considered conclusive), there was a link between ventilation and transmission of infection (e.g. measles, TB, influenza, smallpox, chicken pox) (Li et al 2007). They conclude that there is enough data to support used of pressurised isolation rooms, however insufficient data to specify minimum ventilation requirements in hospitals.

SOURCES AND ROUTES OF INFECTION IN AN OPERATING THEATRE

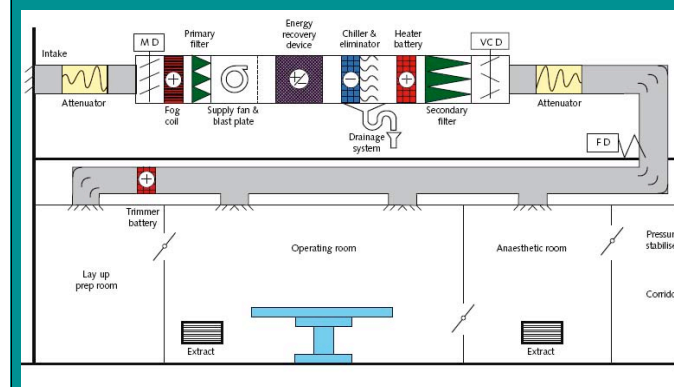


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Operating theatre schematic



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GENERAL FILTERS

| BS EN 779 grade (Eurovent grade) | % Arrestance | Notes and typical healthcare applications |
|----------------------------------|--------------|---|
| G1 (EU1) | <65 | Metal-mesh grease filter |
| G2 (EU2) | 65 to <80 | Coarse primary filter |
| G3 (EU3) | 80 to <90 | Primary air intake; Return air; Energy-recovery device protection |
| G4 (EU4) | >90 | General-purpose tempered air supply |

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FINE FILTERS

| BS EN 779 grade (Eurovent grade) | % Efficiency | Notes and typical healthcare applications |
|----------------------------------|--------------|--|
| F5 (EU5) | 40 to <60 | General-purpose panel/bag filter |
| F6 (EU6) | 60 to <80 | Basic grade bag filter |
| F7 (EU7) | 80 to <90 | Medium grade bag or pleated paper Conventional operating theatre supply air |
| F8 (EU8) | 90 to <95 | High grade bag or pleated paper |
| F9 (EU9) | >95 | Basic HEPA filter – level 8 clean rooms |

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HIGH EFFICIENCY FILTERS - HEPA

| BS EN 1822 grade (Eurovent grade) | % Efficiency at most penetrating particle size (MPPS) | Notes and typical healthcare applications |
|-----------------------------------|---|---|
| H10 (EU10) | 85 | Ultra-clean theatre terminal |
| H11 (EU11) | 95 | |
| H12 (EU12) | 99.5 | |
| H13 (EU13) | 99.95 | |
| H14 (EU14) | 99.995 | Pharmacy aseptic suite Category 3 room extract |
| U15–U17 | – | Not generally used in healthcare |

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APPENDIX 2 RECOMMENDED AIR CHNGAE RATES

| Application | Ventilation | AC/hr | Pressure (Pascals) | Supply filter | Noise (NR) | Temp (°C) | Comments (for further information see Figure 9) |
|---|-------------|-------|--------------------|----------------|------------|-----------|--|
| General ward | S/N | 6 | – | G4 | 30 | 18–28 | |
| Communal ward toilet | E | 6 | –ve | – | 40 | – | |
| Single room | S/E/N | 6 | 0 or –ve | G4 | 30 | 18–28 | |
| Single room WC | E | 3 | –ve | – | 40 | – | |
| Clean utility | S | 6 | +ve | G4 | 40 | 18–28 | |
| Dirty utility | E | 6 | –ve | – | 40 | – | |
| Ward isolation room | – | – | – | – | – | – | See Health Building Note 04-01 (Supplement 1) |
| Infectious diseases isolation room | E | 10 | –5 | G4 | 30 | 18–28 | Extract filtration may be required |
| Neurotropic patient ward | S | 10 | +10 | H12 | 30 | 18–28 | |
| Critical care areas | S | 10 | +10 | F7 | 30 | 18–25 | Isolation room may be –ve pressure |
| Birthing room | S & E | 15 | –ve | G4 | 40 | 18–25 | Provide clean air-flow path |
| SCBU | S | 6 | +ve | F7 | 30 | 18–25 | Isolation room may be –ve pressure |
| Preparation room (lay-up) | S | >25 | 35 | F7 | 40 | 18–25 | |
| Preparation room/bay (sterile pack store) | S | 10 | 25 | F7 | 40* | 18–25 | *50 NR if a bay in a UCV theatre |
| Operating theatre | S | 25 | 25 | F7 | 40 | 18–25 | |
| UCV operating theatre | S | 25* | 25 | H10 or greater | 50 | 18–25 | *Fresh-air rate; excludes recirculation |

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HIERARCHY OF CLEANLINESS

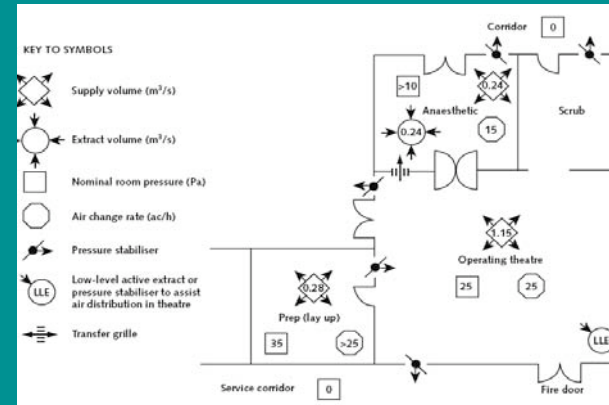
| Class | Room | Nominal pressure (Pa) ^a | Air-flow rate for bacterial contaminant dilution | |
|--------------|-------------------------------|------------------------------------|--|---|
| | | | Flow in or supply (m ³ /s) | Flow out or extract (m ³ /s) |
| Sterile | Preparation room | | See standard schemes in Appendix 7 for recommended design values | |
| | (a) lay-up | 35 | | |
| | (b) sterile pack store | 25 | | |
| | Operating room | 25 | | |
| | Scrub bay ^b | 25 | | |
| Clean | Sterile pack bulk store | +ve | 6 AC/h | - |
| | Anaesthetic room ^c | 14 ^c | The greater of 15 AC/hr or 0.15 | The greater of 15 AC/hr or 0.15 |
| | Scrub room | 14 | - | 0.10 |
| Transitional | Recovery room | 3 | 15 AC/hr ^d | 15 AC/hr ^d |
| | Clean corridor | 0 | (See note e) | 7 AC/hr |
| | General access corridor | 0 | (See note e) | 7 AC/hr |
| | Changing rooms | 3 | 7 AC/hr | 7 AC/hr |
| | Plaster room | 3 | 7 AC/hr | 7 AC/hr |
| Dirty | Service corridor | 0 | - | (See note f) |
| | Disposal room | -5 or 0 | - | 0.41 or 0.10 |

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TYPICAL OPERATING THEATRE LAYOUT

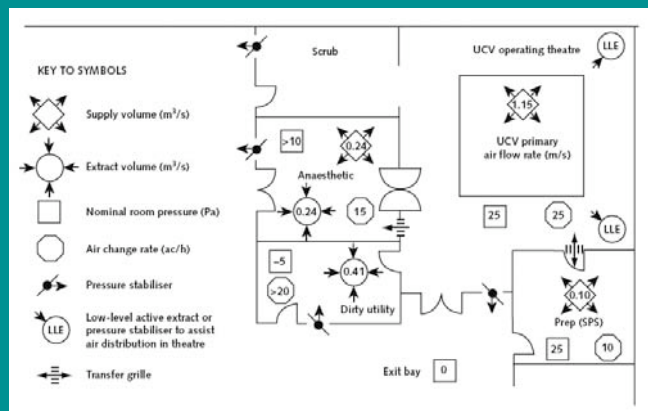


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UCV THEATRE SUITE

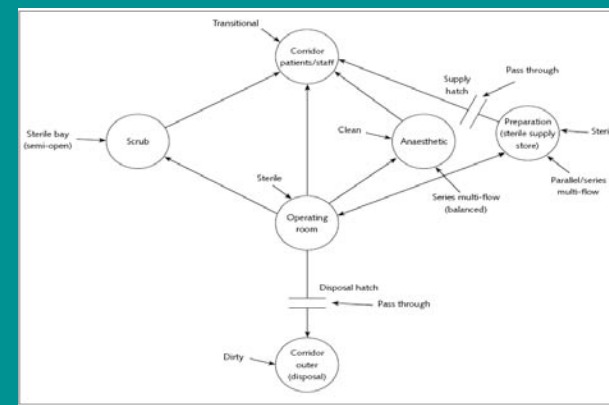


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AIRFLOW NETWORK



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Appendix 1 – Annual inspection of critical ventilation systems – AHU and plantroom equipment

Definition of terms used on survey form

General condition

End of useful life

This should be clear from the condition of the AHU and its associated services and plant. The main indicators will be:

- extensive internal and/or external corrosion of the AHU casing;
- failure of filter housings to prevent air bypass;
- general corrosion of heater and cooling battery fins, attenuator surfaces etc;
- significant failure to meet minimum standards;
- associated plant services and control elements in a poor condition or not able to fulfill their purpose;
- AHU aged 20 years or more.

Action: Urgent replacement indicated.

Poor

Should be fairly apparent but would include an assessment of the degree of corrosion; cleanliness of coils and batteries; quality of filter mounting and their ability to prevent air bypass; fan and drive train condition; the control system elements' ability to fulfill their function; condition of the access doors and inspection covers. The age of the AHU is generally less important.

Action: Extensive refurbishment or programmed replacement indicated.

Average

Some faults but generally free of significant corrosion, clean internally and conforming to minimum standards.

Action: Faults capable of correction at next maintenance period.

Good

Conforming to the minimum standards, obviously cared for and subject to routine maintenance.

Action: Routine maintenance will preserve standard of the equipment.

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UK PRACTICE

- NATURAL VENTILATION ENCOURAGED WHERE RELEVANT
- FULL FRESH AIR MECHANICAL VENTILATION – NO RECIRCULATION
- ENERGY TARGETS SET
- ENVIRONMENTAL TARGETS – NEAT (BREEAM)
- ALL BASED ON EXTENSIVE DEVELOPMENT THROUGH NHS ESTATES AND HEALTHCARE PROFESSIONALS

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NORTH AMERICA -PRE 2003

- MAIN SOURCE THE AIA – AMERICAN INSTITUTE OF ARCHITECTS
- 2001 EDITION GUIDELINES FOR DESIGN AND CONSTRUCTION OF HOSPITALS AND HEALTHCARE FACILITIES
- A 5 PAGE CHAPTER IN THE ASHRAE GUIDES

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NORTH AMERICA POST 2003

- ASHRAE HOSPITAL DESIGN MANUAL (IN COLLABORATION WITH ASHE)
- 5 YEAR EFFORT, PULLED TOGETHER CURRENT PRACTICE, LITTLE 'NEW' MATERIAL.
- TABLE F IN THE GUIDE 'IS WHAT IT IS ALL ABOUT'. THIS SIMPLY STATES AIR CHANGE RATES, TEMPS, HUMIDITIES ETC

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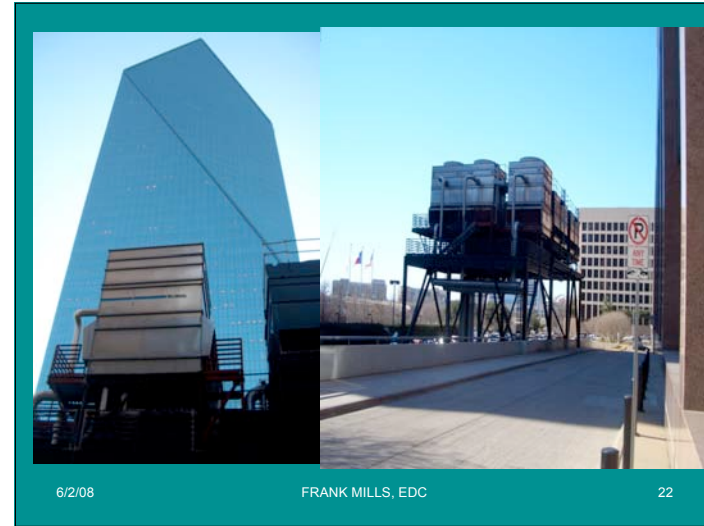
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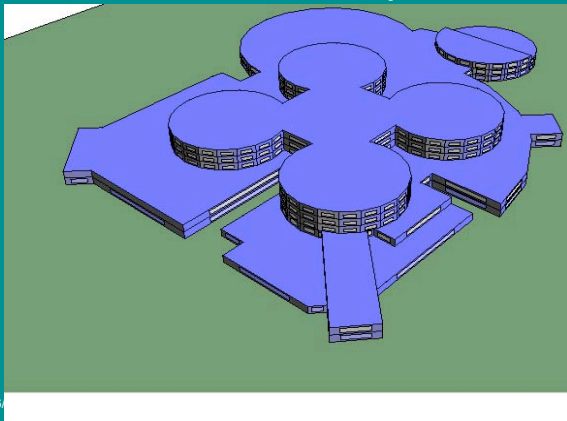


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Romford hospital



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EUROPEAN COUNTRIES

- SEMINAR HELD IN DEC 2006
- 10 COUNTRIES ATTENDED – 10 DIFFERENT STANDARDS
- GERMANY HAS 2 OPPOSING STANDARDS – DIN AND VDI
- ALL DIFFER FROM UK
- MAJOR CONCERNS FROM EACH OVER INFECTION RATES

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INFECTION RATES - PUBLIC PERCEPTION

- In December 2000, Robert Bezell of NBC News reported ...'It's a danger of staggering proportions. Every year 1 in 20 Americans – 8 million people – develop an infection, with 88,000 of them dying. The biggest threat : supergerms – resistant to bacteria.'

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ASHRAE /ASHE IAQ 2004 VENTILATION OF HEALTHCARE BUILDINGS

- A JOINT CONFERENCE HELD IN TAMPA TO DISCUSS HOSPITAL AIR QUALITY

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Volume 1, Issue 47
October 6, 2006

STANDARDS ACTIONS

BACKGROUND INFORMATION FOR TPC CHANGES

SPC 170P –Ventilation of Health Care Facilities

1. PURPOSE
The purpose of this standard is to define requirements for ventilation system design, intended to requirements that provide environmental control for comfort, asepsis, and odor in health care facilities.


2. SCOPE

2.1 The requirements in this standard apply to patient care areas and related support areas within health care facilities, including hospitals, nursing facilities, and outpatient facilities.

2.2 This standard is intended to apply applies to new buildings, additions to existing buildings, and these changes alterations to existing buildings that are identified within the this standard.

2.3 This standard considers chemical, physical, and biological airborne contaminants that can affect the delivery of medical care to patients, the convalescence of patients, and the safety of patients, health care workers and visitors.

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BSR/ASHRAE/ASHE Standard 170P

**Public Review
Draft**

ASHRAE® Standard

**Proposed New
Standard 170, Ventilation of
Health Care Facilities**

Third Public Review (May 2007)
(Draft Shows Independent Substantive
Changes to Second Public Review Draft)

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Table 6.2 Supply Air Outlets

| Space Designation (According to Function) | Supply Air Outlet Classification ^a |
|---|--|
| All Class A, B and C Surgeries ^b | Primary Supply Diffusers Group E, non-aspirating Additional Supply Diffusers, Group E |
| Protective Environment (PE) Rooms | Group E, non-aspirating |
| Wound Intensive Care Units (Burn Units) | Group E, non-aspirating |
| Trauma Rooms (Crisis or Shock) | Group E, non-aspirating |
| <i>Airborne Infection Isolation Rooms</i> | Group A or Group E |
| All other spaces | Group A or Group E |

Note a: Refer to 2005 ASHRAE Handbook--Fundamentals, Chapter 33, for definitions related to Outlet Classification and performance (see Bibliography).
Note b: Surgeons may require alternate air distribution systems for some specialized surgeries. Such systems shall be considered acceptable if they meet or exceed the requirements of this standard.

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Table 7.1 Design Parameters

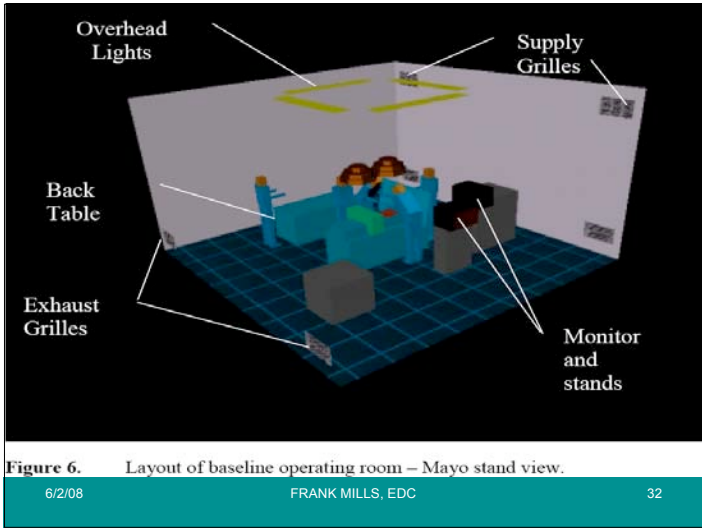
| Function of Space | Pressure Relationship to Adjacent Areas (n) | Minimum Air Changes of Outdoor Air per Hour | Minimum Total Air Changes per Hour | All Room Air Exhausted Directly to Outdoors (l) | Air Recirculated With in-by means of Room Units (a) | Relative Humidity (h _h) (%) | Design Temperature (t) (°F/°C) |
|--|---|---|------------------------------------|---|---|---|--------------------------------|
| SURGERY AND CRITICAL CARE | | | | | | | |
| Class B and C Operating room <i>(a), (m), (n) (o)</i> | Positive | 4 | 20 | -N/R | No | 30-60 | 68-75/20-24 |
| Operating/surgical cystoscopic rooms <i>(h), (m), (n) (o)</i> | Positive | 4 | 20 | N/R | No | 30-60 | 68-75/20-24 |
| Delivery room (Caesarian) (m), (n), (p) | Positive | 4 | 20 | -N/R | No | 30-60 | 68-75/20-24 |
| Substerile service area | N/R | 2 | 6 | N/R | No | 30-60 | 68-75/21-24 |
| Recovery room | Requirement (l) | 2 | 6 | -N/R | No | 30-60 | 70-75/21-24 |
| Critical and intensive care | Positive | 2 | 6 | -N/R | No | 30-60 | 70-75/21-24 |
| Wound Intensive Care (Burn Unit) | Positive | 2 | 6 | N/R | No | 40-60 | 70-75/21-24 |
| Newborn intensive care | Positive | 2 | 6 | -N/R | No | 30-60 | 70-75/21-24 |
| Treatment room (p) | Requirement (l) | 2 | 6 | -N/R | -N/R | 30-60 | 70-75/21-24 |
| Trauma room (crisis or shock) (t) | Yes | 3 | 15 | -N/R | No | 30-60 | 70-75/21-24 |
| Medical/Anesthesia gas storage (x) | Negative | -N/R | 8 | Yes | -N/R | -N/R | -N/R |

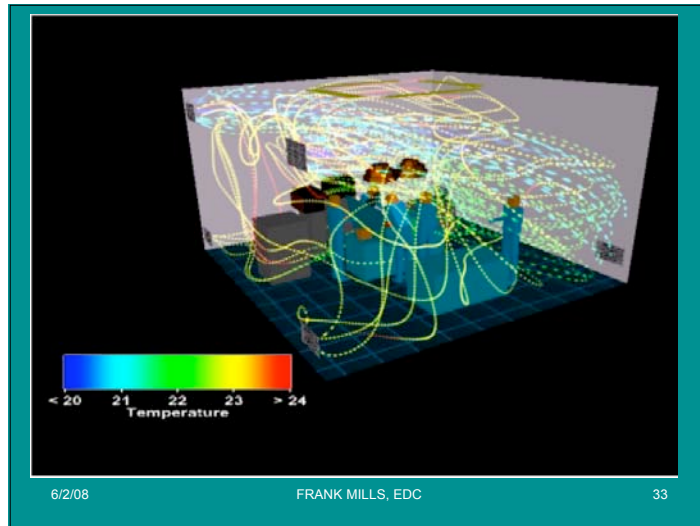
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NIH RESEARCH

- DR FARHAD MEMARZADEH
- CFD DESK BASED STUDIES
- LOOKED AT DIFFERENT AIRFLOW STRATEGIES
- RESULTS USED IN GUIDE
- PRACTICAL RESEARCH NOW STARTED AT GEORGIA TECH , ATLANTA

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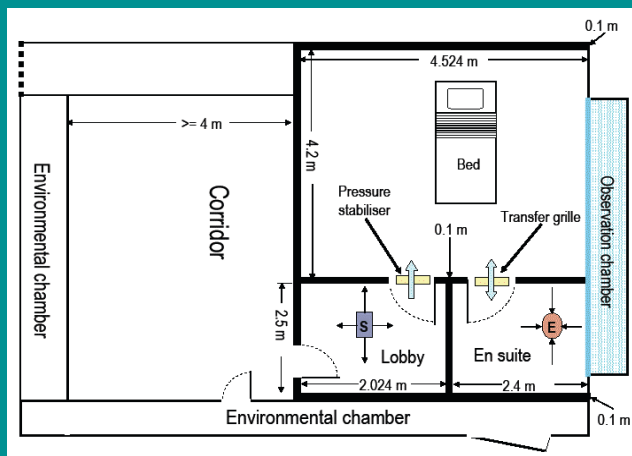
NHS – HBN4 -ISOLATION ROOMS

Process

- Identification of condition and/or clinical requirements
- Provision of mechanically ventilated room to appropriate strategy
- Infection control procedures by medical staff and visitors
- Use of appropriate PPE



BSRIA TEST LAB MOCK UP



ASHRAE STANDARD 170P

- ISSUES IN DRAFT IN SEPTEMBER 2005
- CONSULTATION ENDED IN NOVEMBER
- ASHRAE MUST REVISE TO TAKE ACCOUNT OF OVER 30,000 COMMENTS
- PLANNED TO PUBLISH IN 2006 BUT FAILED TO DO SO BECAUSE SO MANY ADVERSE COMMENTS NEW TARGET IS 2009
- WAS BE ISSUED FOR FURTHER CONSULTATION THIS YEAR.

Purpose of an Air quality standard

- VENTILATION SYSTEM DESIGN
- COMFORT
- ASEPSIS
- ODOUR CONTROL
- MEDICAL GASES

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OPERATING THEATRES

AMERICANS PROPOSE 3 CLASSES OF SURGERY...

- CLASS A
- CLASS B
- CLASS C
- BUT ONLY 2 MENTIONED IN THEIR DESIGN GUIDE

UK IS LOOKING AT 5 TYPES

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LAMINAR AIR FLOW

- STANDARD PROPOSES A STANDARD DESIGN SOLUTION
- PRESCRIPTIVE APPROACH
- LOCATION OF SUPPLIES AND EXTRACTS
- SPECIFIES DIFFUSER TYPES

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AMERICAN APPROACH TO SPACE VENTILATION

- TEMPS, HUMIDITY, AIR CHANGE RATES FOR EACH ROOM TYPE
- REIRC PERMITTED IN MOST ROOMS – eg ORs can have 4 fresh air and 16 recirc airchanges per hour
- NATVENT not permitted due to pressure requirements, temperature, humidity or airchange rate reqs
- FAN COILS VIRTUALLY OUTLAWED

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AIR CLEANERS

- HEPA FILTRATION GENERALLY USED
- UV SYSTEMS NOT MENTIONED
- LOCAL RECIRC HEPAFILTERS NOT MENTIONED – BUT SEEM TO BE RULED OUT BY BAN ON ‘IN ROOM’ UNITS – HOWEVER NEW SWEDISH SYSTEM LOOKS GOOD

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FIRE SAFETY

- PART B REVISED IN APRIL 2007 TO COVER HOSPITALS
- HTM 86 WITHDRAWN
- FIRECODE DOC STILL SIGNED OFF BY CHIEF EXECUTIVE
- VENTILATION AND SMOKE FLOWS ARE ISSUES TO CONSIDER.

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CANADIAN STANDARD

Public Review Comment Closing Date: May 17, 2008

DRAFT STANDARD

Z317.2
Special Requirements for Heating, Ventilation, and Air Conditioning (HVAC) Systems in Health Care Facilities

Draft new edition March 12, 2008

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| Item | Dimensions | Heat Dissipation |
|------------------------|---|--|
| Operating Table | 30" wide x 30" high x 72" long | None – operating table only operates intermittently |
| Surgical Lights (x2) | 2' diameter x 1' hemisphere | 150W each |
| Surgical Staff | Height assumed as 5' 9" Two of the staff are leaning over surgery site | 100W Each |
| Anesthesia Machine | 30" x 30" x 48" high | 200 W |
| Machine1 | 30" x 30" x 30" high | None- represents blockage only or intermittently operating machinery |
| Mayo Stand | 10" x 30", located 8" above patient level | None |
| Back Table | 30" x 30" high x 60" long | None |
| Monitor and Stand (x2) | Stand: 12" x 24" x 40" high Monitor: 16" x 18" x 10" high | Monitors dissipate 200 W each |
| Patient | With drape, patient covers most of table | Exposed head dissipates 46W (70% of 65W); Surgery site is 1' x 1' area with surface temperature = 100°F |
| Overhead lights (x4) | 6' x 1' | 180W each |

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CONCLUSIONS

- MAJOR DIFFERENCES BETWEEN NORTH AMERICA, EUROPE AND UK.
- FULL FRESH AIR v RECIRC
- NAT VENT OR NOT
- DISPLACEMENT v MIXING/DILUTION
- UK APPEARS TO BE LEADING THE WAY TOWARD EFFICIENT AND ENVIRONMENTALLY COST EFFECTIVE HOSPITALS

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